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Assistant Commissioner for Patents Washington, D.C. 20231

Attorney Docket No.: 16869P-036400US Client Reference No.: 340100061US1

Unassigned

Unassigned

STATEMENT UNDER 37 CFR §1.97 and

INFORMATION DISCLOSURE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Art Unit:

§1.98

In re application of:

Tetsuya Uda et al.

Application No.: Unassigned

Filed: Herewith

For: Wavelength Division Multiplexing Optical Transmission Equipment and Method of Amplifying Optical Wavelength Division Multiplexed Signals

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this

application, and the references be made of record therein and appear among the "references

cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the

Tetsuya Uda et al.

Application No.: Unassigned

Page 2

Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Reg. No. 37,478

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel: 650-326-2400

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet	1	of	2	

Complete if Known						
Application Number	Unassigned					
Filing Date	January 17, 2002	X				
First Named Inventor	Uda, Tetsuya	2				
Group Art Unit	Unassigned	2.0				
Examiner Name	Unassigned	NI				
Attorney Docket Number	16869P-036400US					

U.S. PATENT DOCUMENTS								
Examiner Initials *	Cite No.1	U.S. Pa	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	AA							
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	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. ¹	For Office ³		ument Kind Code ⁵ (<i>if known</i>)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Ţ ⁶		
	AU	JP	08-223136		Nippon Telegr.,	8/30/96		Eng. Abstr. only		
	AV	JP	11-055182		Fujitsu Ltd.	2/26/99		Eng. Abstr. only		
	AW	JP	2000-183818		Fujitsu Ltd.	6/30/00		Eng. Abstr. only		

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Substitu	te for form 1449A/PTC)	Complete if Known				
			Application Number	Unassigned			
INFO	ORMATION	DISCLOSURE	Filing Date	January 17, 2002			
STA	TEMENT B	Y APPLICANT	First Named Inventor	Uda, Tetsuya			
			Group Art Unit	Unassigned			
	(use as many she	ets as necessary)	Examiner Name	Unassigned			
Sheet	2	of 2	Attorney Docket Number	16869P-036400US			

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document			N	D. (D.)	Pages, Columns, Lines,		
		Office ³	Number⁴	Kind Code ⁵ (<i>if known</i>)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T⁵	
	AX	JP	2001-05368	36	Fujitsu Ltd.	2/23/01		Eng. Abstr only	
	AY	JP	11-252048	3	Nec Corp.	9/17/99		Eng. Abstr only	
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